SNARKs in practice An entirely too short primer

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SNARKs Succinct Non-interactive Arguments of Knowledge

Want to show "knowledge" of w s.t. $(i, x, w) \in \mathscr{R}$





A stepping stone to SNARGs



If number of rounds is superconstant regular soundness/knowledge soundness are not sufficient

IARG has state-restoration soundness/knowledge soundness \implies ARG is sound/knowledge sound in the ROM with $\kappa_{ARG} \leq \kappa_{SR}$



How to build succinct interactive arguments?

Some approaches	Proof string	Query class	Answer
PCP+VC [Kilian92] IOP+VC [BCS16,CDGS23]	$\Pi \in \Sigma^{\ell}$	point queries \mathbf{Q}_{point}	$\beta = \Pi[\alpha]$ for $\alpha \in [\ell]$
LPCP+LC [LM19]	$\Pi \in \mathbb{F}^{\ell}$	linear queries \mathbf{Q}_{lin}	$\beta = \sum_{i \in [\ell]} \Pi[i] \cdot \alpha[i] \text{ for } \alpha \in \mathbb{F}^{\ell}$
PIOP+PC [CHM+20,BFS20]	$\Pi \in \mathbb{F}[X]^{\leq D}$	evaluation queries on polynomials Q_{poly}	$\beta = \sum_{i \in [\ell]} \Pi[i] \cdot \alpha^{i-1}$ for $\alpha \in \mathbb{F}$
PIOP*+PC* [GWC19]	$\Pi \in (\mathbb{F}[X]^{\leq D})^{m+n}$ $= (f_1, \dots, f_m, g_1, \dots, g_m)$	evaluation queries on structured polys $\mathbf{Q}_{\text{poly}*}$	$\beta = \sum_{k \in [n]} h_k(f_1(\alpha), \dots, f_m(\alpha)) \cdot g_k(\alpha)$

and more: Bulletproofs (and other sumcheck-based arguments), linear-only encodings [BCIOP13, GGPR13, Groth16], ...



How to build succinct interactive arguments?

Proof string Query class



Functional IOP is purely an information theoretical construction.



Answer

$\mathbf{Q} = \{ \alpha : \Sigma^{\ell} \to \mathbb{D} \}$ $\beta = \alpha(\Pi) \in \mathbb{D} \text{ for } \alpha \in \mathbf{Q} \}$





FIOP + FC



Prover sends proof strings Σ^{ℓ}

Verifier can ask functional queries $\alpha \in \mathbf{Q}$ where $\alpha \colon \Sigma^{\mathscr{C}} \to \mathbb{D}$



Concrete security

Let \mathcal{S} be a scheme which takes a security parameter λ Typically, theoretical cryptography cares about asymptotic security

$\forall \mathscr{A} \in \mathsf{poly}(\lambda), \Pr\left[\mathscr{A} \text{ breaks } \mathscr{S}(\lambda)\right] \leq \operatorname{negl}(\lambda)$

For practical deployment, we want **concrete security**

$$\forall \mathscr{A} \in \mathsf{Adv}(R), \ \Pr\left[\mathscr{A} \text{ breaks } \mathscr{S}(\lambda)\right] \leq \epsilon$$

For every adversary with some resources

Modelling the resources *R* of the adversary allows to choose λ to get meaningful guarantees

Many additional considerations in this: extractor running time, average vs worst case security

Holds for every $\lambda > \lambda_0$ which will depend on the adversary resources. If λ_0 is too large no guarantees in practice

 (λ, R)

Theorem 22.1.1

Let PCP be a PCP for a relation \mathcal{R} with knowledge soundness error κ_{PCP} (see Definition 19.1.3). For every security parameter $\lambda \in \mathbb{N}$ and privacy parameter $s \in \mathbb{N}$, NARG := Micali[PCP, λ , s] in Construction 21.1.1 is a non-interactive argument for \mathcal{R} with knowledge soundness error κ_{ARG} (see Definition 7.1.5) such that

 $\kappa_{ ext{ARG}}(\lambda,t,n) \leqslant (t+1) \cdot \kappa_{ ext{PCP}}(n) + \kappa_{ ext{MT}}^{ ext{m}}(\lambda,t,\mathsf{I},t+1,1) \,.$

Above κ_{MT}^{m} is the Merkle commitment multi-extraction error from Lemma 18.5.6, and $\kappa_{\mathrm{MT}}^{\mathrm{m}}(\lambda, t, \mathsf{I}, t+1, 1) \leq 2 \cdot \frac{t^2}{2^{\lambda}} \text{ if } 6 \cdot 1 \cdot (\log \mathsf{I} + 1) \leq t.$



Metrics that we care about

Having fixed λ to achieve the required security guarantees, we generally care about the following three metrics:





Prover time

Verifier time

Relevant to reduce the cost of deploying arguments

Hash based arguments (for instances of size 2²⁶): Argument string contains 160KiB proof generates in ~1s on a laptop can be verified in ~600µs

IOP+VC paradigm

Security properties [CGKY25]

FIOP is state-restoration (knowledge) sound + FC is state-restoration function binding $\epsilon_{ARG}^{SR}(\mathbf{k}, \ell, t) \leq \epsilon_{FIOP}^{SR}(\mathbf{k}, \ell) - \epsilon_{FIOP}^{SR}(\mathbf{k}, \ell)$

State-restoration (knowledge) soundness of FIOP

This error is **not** used in practice! The recipe yields (in general) a secure interactive arguments. In practice tighter bounds are obtained by analyzing the resulting SNARK



- \Rightarrow ARG = Funky[FIOP, FC] is state-restoration (knowledge) sound

+
$$\epsilon_{FC}^{SR}(t \cdot k \cdot N + t_Q \cdot k) + k \cdot \epsilon_Q(\ell, q, N)$$

State-restoration function binding of FC

Depends only **Q**



Things I will not talk about

Zero knowledge

Most practical deployed systems do not guarantee zero-knowledge

They still brand themselves as zk...

Recursion





Stronger security properties

Recursion is **extremely practical**.

Most concrete SNARKs use one or more layers of recursion.

Accumulation and **folding** schemes new exciting directions to exploit recursion.

Deployed systems only heuristically secure, problematic (especially in light of [KRS25])





PIOP + PC

Proof string

Query class

$\hat{f} \in \mathbb{F}^{\leq D}[X] \quad \text{point queries } \mathbf{Q}_{\text{point}} \qquad \beta = \sum_{i \in [\ell]} \Pi[i] \cdot \alpha^{i-1} \text{ for } \alpha \in \mathbb{F}$ PIOP+PC

Answer



[KZG10]Univariate PCS with O(1) proof size



Also, very good batching properties! Can batch many openings of distinct polynomials

Proof size and verification speed are constant number of group elements and operations

Concretely two curves are used:

On BN254 (≈ 100 bits of security)

 $|\sigma_f| + |\sigma_w| = 64B$

On BLS12-381 (≈ 128 bits of security) $|\sigma_f| + |\sigma_w| = 96B$ Of the curve, not of KZG!

Relies on a **private-coin** setup.

If α is public there is **no** security

Security proven in AGM or under SDH-type assumptions









Univariate sumcheck [BCRSVW19]





Let $H \subseteq \mathbb{F}$ be a multiplicative subgroup and let $\hat{f} \in \mathbb{F}^{\leq d}[X]$:

$$\mathbb{E}^{<|H|}[X] : \hat{f}(X) = \hat{g}(X) \cdot V_{H}(X) + \hat{h}(X)$$

$$\mathbb{E}^{<|H|}[X]$$

Putting it all together

- Used extensively in PIOP such as Aurora, Marlin, Fractal, Plonk, fflonk, Turboplonk, Ultraplonk, Honk, ...
 - Argument string is a (small) constant number of group elements

Example:

Marlin PIOP with KZG on BL12-381 is 880B



Ceremonies



Follow a 1-out-N security model Logistically quite hard to setup but can be done

KZG (and derived arguments) have universal setup:

Once done, the same pk, vk can be used for **many** different circuits being proven (as long as the setup is large enough)

Open Qs

Concretely efficient argument/polynomial commitment without private coin setup and argument size < 5 KiB

Concretely efficient argument/polynomial commitment with post-quantum security and argument size < 20 KiB



Even smaller proof sizes

On the Size of Pairing-based Non-interactive Arguments^{*}

Jens Groth**

University College London, UK j.groth@ucl.ac.uk

Polymath: Groth16 Is Not The Limit* June 8, 2024

Helger Lipmaa

Over BLS12-381: 176 B

GARUDA and PARI: Faster via Equifficient Polynor

Michel Dellepere michel@provable.com Provable

Pratyush Mi prat@upenn UPenn

Over BLS12-381: 160 B Open Qs Can we get even smaller SNARKs? Get argument of comparable size with **universal setup**

Stronger private coin setup requirements: the setup must be done once per circuit



Does not follow our recipe, but a similar one based on linear-PCPs

Groth16: Most widely deployed succinct argument

- Secure in the AGM, argument string is $3 \cdot \mathbb{G}$.
- Over BLS12-381 this is 192 B.

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Recent improvement:

Achieve smaller proof sizes using a combination of AGM + ROM



Minimizing prover & verifier cost



IOP + VC

Proof string

Query class



Something quite magical happens here: Merkle trees are **non-interactive** vector commitments in the **pure** ROM:

Can construct succinct arguments in the pure ROM

Simple cryptography, work shifted to the IOP



Answer



Hash-based SNARKs In practice

Instantiating random oracle gives amazing SNARKs:

- Transparent setup (choice of hash)
- Highly efficient implementations (no public-key crypto)
- Plausibly post-quantum secure (secure in QROM)

Used to secure billions of dollars in real-world blockchains:











Committing to 2^{26} field elements on a laptop can be done in ≈ 800 ms

Committing to 2^{26} field elements using an MSM is <u>on the order of</u> ≈ 3 m

Not an entirely fair comparison, but gives some intuition

IOP-based SNARKs [BCS16] Construction

IOP	Our f
	BCS Mer

Proof length $I \approx O(n)$ Large, thir

Queries $q \approx O(\log n)$ Small, think ~400



$$k 2^{24}$$



Small, tens of KiB





Error correcting codes will be used to redundantly encode witnesses of NP relation, and then prove claims about them.

Modern IOP (and accumulation schemes) almost entirely inherit their efficiency property from the choice of code used





Constructing IOPs Traditionally



Strategy: use Reed-Solomon codes as "redundant" encoding. Use a proximity test to check claims on encoded oracles.





IOP of Proximity to RS codes Convenience Evaluations of polynomials of degree 2^m $\mathsf{RS}[n, m, \rho] :=$ on a domain $L \subseteq \mathbb{F}$ of size $n. \rho :=$ n Rate of the code Completeness If $f \in RS[n, m, \rho]$ then V accepts **IOPP** for **RS** $f: L \to \mathbb{F}$ Soundness If $\Delta(f, RS[n, m, \rho]) > \delta$ then w.h.p. V rejects Ρ **Goal:** minimize queries to f and other proof oracles.

Constrained RS tests

What we are running:



Break it down as:



What we really want to show:

I have a polynomial \hat{f} and a commitment to (an encoding of it) f such that $\hat{f}(z) = y$

> Can the proximity test **directly** enforce the constraint?

Yes! IOPP for constrained codes





Constrained codes

Idea: enrich proximity tests by enforcing constraints on the messages encoded by the word being tested.

 $\mathscr{C}[\hat{P},\beta,\eta] = \{f$ Value of constraint **Constraint and** parameters

We will be interested in one particular type of constraints

Sumcheck constraints

$$\mathscr{C}[\hat{v},\eta] = \begin{cases} f \in \mathscr{C} : \sum_{\mathbf{b} \in \{0,1\}^m} \hat{f}(\mathbf{b}) \cdot \hat{v}(\mathbf{b}) = \eta \end{cases}$$

$$\in \mathscr{C} : \hat{P}(\beta, \mathscr{C}^{-1}(f)) = \eta \}$$

Note: $\Delta(f, \mathscr{C}[\hat{P}, \beta, \eta]) > \delta$ means that: For every $u \in \Lambda(\mathscr{C}, f, \delta)$, the <u>message</u> $w := \mathscr{C}^{-1}(u)$ does not satisfy the constraint $\hat{P}(\beta, w) = \eta$

Very powerful! If $\hat{v}(\mathbf{b}) = \mathbf{eq}(\mathbf{b}, \mathbf{z})$ this captures evaluation claims of **multilinear** polynomials at $\mathbf{z} \in \mathbb{F}^m$





Multilinear sumcheck [**LFNK92**]



. . .

Extremely powerful! Only requires *m* rounds Prover can be implemented in $O(2^m)$ field operations Verifier runs in time $O(m + |\hat{f}| + |\hat{v}|)$

Open Qs

Is there a constant round sumcheck protocol with linear prover time?

Check that: $\hat{h}_1(0) + \hat{h}_1(1) = \eta$ $\hat{h}_2(0) + \hat{h}_2(1) = \hat{h}(\alpha_1),$ $\hat{f}(\alpha) \cdot \hat{v}(\alpha) = \hat{h}_m(\alpha_m)$

This makes sense when argument size is not a bottleneck

Recent trend in succinct arguments is to use **multilinear** PIOPs instead of univariate because of multilinear sumcheck







For
$$\varepsilon_{\text{RBR}} \leq 2^{-\lambda}$$
, set $t_i := \frac{\lambda}{-\log\sqrt{\rho_i}}$ Fewer each round!

$k = 4, \lambda = 100$	i = 0	i = 1	i=2
ρ_i	1/2	1/16	1/12
t _i	200	50	29



Comparison with prior work

	Queries	Verifier Time	Alphabet
BaseFold	$q_{\rm BF} = O(\lambda \cdot m)$	$O(q_{BF})$	F ²
FRI	$q_{\rm FRI} = O\left(\frac{\lambda}{k} \cdot m\right)$	$O(\mathbf{q}_{FRI} \cdot 2^k)$	\mathbb{F}^{2^k}
STIR	$q_{\rm STIR} = O\left(\frac{\lambda}{k} \cdot \log m\right)$	$O(q_{\text{STIR}} \cdot 2^k + \lambda^2 \cdot 2^k)$	\mathbb{F}^{2^k}
WHIR	$q_{\rm WHIR} = O\left(\frac{\lambda}{k} \cdot \log m\right)$	$O(\mathbf{q}_{WHIR} \cdot (2^k + m))$	\mathbb{F}^{2^k}
	When $k \approx \log m$	When $k \approx \log m$ $O(a_{\text{MM}} + \Sigma)$	hen $k \approx \log m$ $\Sigma = \mathbb{F}^m$
	OPTIMAL	OPTIMAL	pen question

Super fast verifier

- The WHIR verifier typically runs in a few hundred MICRO-seconds.
- Other verifiers require several MILLI-seconds (and more).
- While maintaining state-of-the-art prover time & argument size

					Truste Pairing	d setup J-based	Transpar Hash-	ent setup based
Verifier time (ms)	Brakedown	Ligero	Greyhound	Hyrax	PST	KZG	WHIR[1/2]	WHIR[1/
$\lambda = 100$	3500	733	_	100	7.81	2.42	0.61	0.29
$\lambda = 128$	3680	750	130	151	9.92	3.66	1.4	0.6
							~	

 $\begin{array}{l} {\rm WHIR}[\rho] \ {\rm denotes} \\ {\rm WHIR} \ {\rm with} \ {\rm rate} \ \rho \end{array}$



Comparison with FRI and STIR

128-bits security level.

 $\lambda = 106 + 22$ bits of PoW + "list-decoding" assumptions.

2^24 coeffs rate = 1/4	FRI	WHIR	
Size (KiB)	177	110	200 − × ×
Verifier time	2.4ms	700µs	$100 - 2^{18}$
2^30 coeffs rate = 1/2	FRI	WHIR	$\begin{array}{c} 2^{12} \\ 2^{10} \\ 2^{8} \end{array}$
2^30 coeffs rate = 1/2 Size (KiB)	FRI 494	WHIR 187	$ \begin{array}{c} 2^{12} \\ 2^{10} \\ \hline & 2^{8} \\ \hline & 2^{6} \\ \hline & 2^{4} \\ & 2^{2} \\ \end{array} $

Note: prover time graph is now outdated due to new optimizations discovered

Rate of the code $\rho = 1/2$ Verifier hash complexity Argument size 10000 8000 Hashes 6000 4000 2000 2^{26} 2^{28} 2^{30} 2^{22} 2^{24} 2^{24} 2^{26} 2^{28} 2^{20} 2^{20} 2^{18} 2^{22} Degree Degree Prover time Verifier time 6

FRI, STIR, WHIR

 2^{26}

 2^{28}

 2^{30}

 2^{20}

 2^{22}

 2^{24}

Degree







 2^{24}

Degree

 2^{26}

 2^{22}

 2^{20}

 2^{18}

Mutual correlated agreement



Security analysis relies on properties of random combinations of linear error correcting codes

if w.h.p. $\Delta(f^*, \mathscr{C}) \leq \delta$:

Agreement: then $\Delta(f_i, \mathscr{C}) \leq \delta$.

Correlated agreement: then f_1, \ldots, f_m agree with \mathscr{C} on the same "stripe"

Mutual correlated agreement: the stripe in which f_1, \ldots, f_m agree with \mathscr{C} is the same on which f^* does:

"No new correlated domains appear"





Folding and lists commute

Implied by mutual correlated agreement



 $\Lambda(\mathscr{C},f,\delta)$ is the list of codewords of $\mathscr C$ that are δ -close to f

w.h.p. over **r**: $\Lambda(\mathscr{C}, \langle \mathbf{f}, \mathbf{r} \rangle, \delta) = \{ \langle \mathbf{u}, \mathbf{r} \rangle : \mathbf{u} \in \Lambda(\mathscr{C}^m, \mathbf{f}, \delta) \}$



Recent results show that mutual correlated agreement holds up to 1.5 Johnson for general linear codes!

We show correlated agreement implies mutual correlated agreement in *unique decoding*.

Lemma







Conclusion

Open questions In this talk

Open Qs

Are Merkle tree optimal as VC in the ROM?

More concretely efficient post-quantum vector commitment schemes

Open Qs

Concretely efficient argument/polynomial commitment without private coin setup and argument size < 5 KiB

Concretely efficient argument/polynomial commitment with post-quantum security and argument size < 20 KiB



Can we get even smaller SNARKs?

Get argument of comparable size with universal setup

Open Qs

Is there a constant round sumcheck protocol with linear prover time?

Open Qs

IOPP with optimal query complexity and verifier time in the constant alphabet regime.

Huge Open Q

Correlated agreement for RS codes up to distance $\delta > 1 - \sqrt{\rho}$



Open questions

Other things that are super interesting

Open Qs

Lattice-based polynomial commitments/arguments with: polylogarithmic verification & argument size < 50 KiB





Open Qs

Concretely efficient constructions of relativizing arguments in the AROM or similar idealized models.

Open Qs

Is there an analogue of parallel repetition that amplifies state-restoration soundness?

Open Qs

Linear time accumulation schemes over small fields





Lots of schemes!

Awh	Arc	Aurora	BaseFo
Binius	Brakedown	Bulletproofs	CycleFc
Dory	DEEP-FRI	FRI	Fracta
Geppetto	Gemini	Groth16	Greyhou
Halo	Halo2	HyperNova	HyperPlo
Hyrax	Jolt	Kilonova	KZG
Lasso	LatticeFold	Libra	Ligerc
Lova	Mangrove	Marlin	MIRAG
Mova	Nova	Origami	Orion
Pickles	Pinocchio	Plonk	Plonky
ProtoGalaxy	ProtoStar	Reverie	Sangri
Shout	SLAP	Sonic	Sparta
SPARKs	Spice	STARK	STIR
SuperNova	Supersonic	TinySpartan	Twist
Twinkle	Virgo	WARP	WHIR



ChatGPT generated, so most likely not complete

Accumulation scheme Polynomial commitment scheme

- Interactive oracle proof of proximity
- Memory argument/lookup
 - Full SNARK

Thanks for listening!





PIOP + PCS The modular way[™]

Plenty of choices available: Aurora, Fractal, Spartan...



- Oracles are polynomials
- Security is information-theoretical
- Proof length is $\Omega(n)$ (not succinct)
- Verifiers are very efficient



- Cryptography goes here!
- Computational security
- We can achieve succinctness

Compilers











Incrementally Verifiable Computation (IVC)

To prove $x_T = F^T(x_0)$, prove $\exists x_1, ..., x_{T-1}$ such that $\forall i \in [T], x_i = F(x_{i-1})$.

E.g. signature aggregation: $F((\sigma_i, pk_i), b_i) := b_i \wedge \mathsf{SigVfy}(\mathsf{st}, pk_i, \sigma_i)$

do I get IVC?

IVC from SNARKS Recursive proof composition

(*) more complex than this, needs preprocessing

Accumulation Schemes A lightweight tool for batching

Enables batching many checks $(x_i, w_i) \in \mathscr{R}$ into an accumulator acc.

 V_{ACC} verifies that adding the inputs into acc was done correctly D_{ACC} decides whether acc is valid.

Any ARG yields ACC with $|\mathbf{V}_{ACC}| \approx |\mathbf{V}_{ARG}(\ell_1)|.$ We can do (significantly) better!

One more thing...

Accumulation schemes are broadly useful for integrity in distributed systems with repeated computations.

NP-relation of choice

Typically A, B, C are matrices with at most O(M) non-zero entries

$$i = (\mathbf{A}, \mathbf{B}, \mathbf{C}, M, N, k)$$

$$x \in \mathbb{F}^{N-k}$$

$$w \in \mathbb{F}^{k}$$

$$\mathbf{A} \begin{pmatrix} x \\ w \end{pmatrix} \odot \mathbf{B} \begin{pmatrix} x \\ w \end{pmatrix} = \mathbf{C} \begin{pmatrix} x \\ w \end{pmatrix}$$
Hadamard product in \mathbb{F}^{m}

Modern SNARK design often focuses on richer relations such as Plonk, CCS, GR1CS, PESAT, AIR, ecc

The richer relation allows modeling complex circuits with smaller constraints, overall speeding the system